


FORM PTO-1390 (REV 5-93)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 977777-111548 DATE: January 28, 2002 U.S. APPLN. NO. (IF KNOWN, SEE 37 C.F.R. 1.5) Not Yet Assigned 107030147
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		
INTERNATIONAL APPLICATION NO. PCT/EP00/07196	INTERNATIONAL FILING DATE 26 July 2000	PRIORITY DATE CLAIMED 28 July 1999
TITLE OF INVENTION: HAIR CARE COMPOSITION		
APPLICANT(S) FOR DO/EO/US: CRAIG, Ailsa, Helen, Louise; GALLEY, Edward; LONG STEWART, Paul; PYKETT, Melanie, Ann		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. (THE BASIC FILING FEE IS ATTACHED) 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures [35 U.S.C. 371(f)] at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input type="checkbox"/> A proper demand for International Preliminary Amendment was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed [35 U.S.C. 371(c)(2)] <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> A translation of the International Application into English [35 U.S.C. 371(c)(2)]. 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)] <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]. 9. <input type="checkbox"/> An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)]. 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]. <p>Items 11 - 16 below concern other document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: <input checked="" type="checkbox"/> PCT/IPEA/409; 2 Sheets of Amended Claims 		

U.S. APPL. NO. (IF KNOWN) SEE 37 C.F.R. 1.50 (Not Yet Assigned) 1030147	INTERNATIONAL APPLICATION NO. PCT/EP00/07196	ATTORNEY DOCKET NO. 977777-11548 DATE: January 28, 2002																					
17. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee [37 C.F.R. 1.492(a)(1)-(5)]: Search Report has been prepared by the EPO or JPO.....\$890.00 International preliminary examination fee paid to USPTO (37 C.F.R. 1.482).....\$710.00 No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO [37 C.F.R. 1.445(a)(2)].....\$740.00 Neither international preliminary examination fee (37 C.F.R. 1.482) or international search fee [37 C.F.R. 1.445(a)(2)] paid to USPTO.....\$1,040.00 International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$ 100.00		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 50%;">CALCULATIONS</th> <th style="width: 50%;">PTO USE ONLY</th> </tr> <tr> <td colspan="2" style="height: 100px;"> </td> </tr> </table>	CALCULATIONS	PTO USE ONLY																			
CALCULATIONS	PTO USE ONLY																						
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 890.00																					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 C.F.R. 1.492(e)].		\$ 0.00																					
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">Claims</th> <th style="width: 20%;">Number Filed</th> <th style="width: 20%;">Number Extra</th> <th style="width: 20%;">Rate</th> <th style="width: 20%;"> </th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>7 - 20 =</td> <td>0</td> <td>X \$ 18.00</td> <td>\$ 0.00</td> </tr> <tr> <td>Independent Claims</td> <td>1 - 3 =</td> <td>0</td> <td>X \$ 84.00</td> <td>\$ 0.00</td> </tr> <tr> <td colspan="3">Multiple dependent claim(s) (if applicable)</td> <td>+ \$280.00</td> <td>\$ 0.00</td> </tr> </tbody> </table>	Claims	Number Filed	Number Extra	Rate		Total Claims	7 - 20 =	0	X \$ 18.00	\$ 0.00	Independent Claims	1 - 3 =	0	X \$ 84.00	\$ 0.00	Multiple dependent claim(s) (if applicable)			+ \$280.00	\$ 0.00	TOTAL OF ABOVE CALCULATIONS =		\$ 890.00
Claims	Number Filed	Number Extra	Rate																				
Total Claims	7 - 20 =	0	X \$ 18.00	\$ 0.00																			
Independent Claims	1 - 3 =	0	X \$ 84.00	\$ 0.00																			
Multiple dependent claim(s) (if applicable)			+ \$280.00	\$ 0.00																			
Reduction by one-half for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28).		\$ 0.00																					
SUBTOTAL =		\$ 890.00																					
Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 C.F.R. 1.492(f)].		\$ 0.00																					
TOTAL NATIONAL FEE =		\$ 890.000																					
Fee for recording the enclosed assignment [37 C.F.R. 1.21(h)]. The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property		\$ 0.00																					
TOTAL FEES ENCLOSED =		\$ 890.00																					
		Amount to be refunded		\$																			
		Charged		\$																			
a. <input checked="" type="checkbox"/> A check in the amount of \$890.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 01-2300 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-2300.																							
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive [37 C.F.R. 1.137(a) or (b)] must be filed and granted to restore the application to pending status.																							
SEND ALL CORRESPONDENCE TO: Arent Fox Kintner Plotkin & Kahn 1050 Connecticut Avenue, N.W. Suite 400 Washington, D.C. 20036-5339 Tel: (202) 857-6000 Fax: (202) 638-4810 RBM/aam																							
 Robert B. Murray Reg. No. 22,890																							

531 Rec'd PC/PIC 28 JAN 2002

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

A. CRAIG et al.

Appln. No.: PCT/EP00/07196

Filed: Concurrently herewith

Attorney Dkt. No.: 977777-11548

For: HAIR CARE COMPOSITION

PRELIMINARY AMENDMENTCommissioner for Patents
Washington, D.C. 20231

January 28, 2002

Sir:

Prior to calculation of the filing fees and initial examination of the application, please amend the above-identified application as follows:

IN THE CLAIMS:

Please cancel claims 1-6, and replace with the following new claims:

7. (New) Hair care compositions containing a synergistic mixture of three anti-free-radical agents selected from the group consisting of
- (a) ascorbic acid, its salts and esters;
 - (b) morus alba;
 - (c) organum vulgare;
 - (d) panax ginseng;
 - (e) rosmarinus officinalis;
 - (f) camellia sinensis; and
 - (g) grape seed extract;

in a suitable diluent or carrier.

8. (New) Hair care compositions as claimed in claim 7 which comprise sodium ascorbyl phosphate, magnesium ascorbyl phosphate or ascorbyl palmitate.

9. (New) Hair care compositions as claimed in claim 7 wherein the total amount of anti-free-radical agents present lies in range 0.003 to 10% by weight.

10. (New) Hair care compositions as claimed in claim 7 wherein the total amount of anti-free-radical agents present lies in the range 0.005 to 1% by weight.

11. (New) Hair care compositions as claimed 7 wherein the total amount of anti-free-radical agents present lies in the range 0.01 to 0.6% by weight.

12. (New) Hair care compositions as claimed in claim 7 where the synergistic combination of anti-free-radicals is:

Origanum vulgare, sodium ascorbyl phosphate and morus alba

Origanum vulgare, sodium ascorbyl phosphate and panax ginseng

Panax ginseng, morus alba and origanum vulgare

Sodium ascorbyl phosphate, morus alba and grape seed

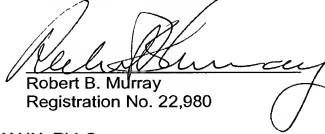
Origanum vulgare, panax ginseng and rosmarinus officinalis.

13. (New) A method of treating hair which comprises the application to the hair care composition as claimed in claim 7.

REMARKS

Claims 1-6 are canceled and replaced by new claims 7-13 to place this application into better condition for examination. No new matter is added.

Respectfully submitted,



Robert B. Murray
Registration No. 22,980

ARENT FOX KINTNER PLOTKIN & KAHN, PLLC
1050 Connecticut Avenue, N.W.,
Suite 400
Washington, D.C. 20036-5339
Tel: (202) 857-6000
Fax: (202) 638-4810
RBM/aam

HAIR CARE COMPOSITION

5 The present invention relates to hair care compositions having protecting and conditioning properties and to methods of using such compositions to treat hair.

10 The hair is exposed to a number of environmental challenges. Such exposure can generate free-radicals, especially on exposure to sunlight and/or heat, and presence of high concentrations of free radicals is generally seen as undesirable in a toiletries composition to be used on the hair. Free radicals, which are generated by factors such as UV radiation (present in sunlight), heat and/or by chemical reaction, are implicated in the process of damage to hair, reduction in shine, poor feel, and fading of hair colour.

15 There are a number of haircare compositions, commercially available, which seek to minimise the damage to the hair by the inclusion of certain agents. In particular materials such as vitamins and herbal extracts have widely been known to reduce the formation of free-radicals. However to achieve good efficiency high levels of these materials have to be used and this can result in dark aesthetically unpleasing products that can stain the hair

20

The hair care compositions of the present invention have been shown to protect the hair more effectively from free radicals and are cosmetically and aesthetically more suitable than known hair care compositions. Therefore the hair care compositions of the present invention may be used to provide improved protection against damage to hair caused by exposure to factors such as sunlight, environmental and/or atmospheric pollution, heat from styling the hair and/or chemical treatment of the hair (for example curling, perming, straightening, dyeing and/or bleaching). The hair care compositions of the present invention may comprise conventional hair care products and/or specific hair protection products which may be used for example as protective pre-treatments prior to heat or chemical treatment of the hair.

25

30

35 The term "hair care composition" as used herein includes so-called "hot oil" treatments, shampoos, conditioners, hair dyes, mousses, foams, gels, creams, waxes, masks, muds, semi-solid structured styling pastes (also known as putties), styling sprays, lotions and rinses, all suitable for use on the hair of animals, preferably on human hair, most preferably hair on the human head.

- 2 -

Therefore broadly according to the present invention there is provided a hair care composition containing a combination of anti-free-radical ingredients that when combined together give a synergistic improvement in activity allowing improved protection and condition to be provided for the hair without the drawback of aesthetically unpleasant product appearance and the chance of hair staining.

The present invention provides hair care compositions containing a synergistic mixture of three anti free radical agents selected from (a) ascorbic acid, its salts and esters, particularly sodium ascorbyl phosphate, magnesium ascorbyl phosphate and ascorbyl palmitate (b) tocopherol and its esters, such as tocopherol acetate and (c) herbal extracts, particularly morus alba, such as that available under the trade name "Mulberry Concentrate" from Aston Chemicals; origanum vulgare, such as that available under the trade name "Pronalen Origanum HSC" from S Black Ltd; panax ginseng, such as that available under the trade name "Ginseng 1.1 extract 4294" from S Black Ltd; rosmarinus officinalis, such as that available under the trade name Herbal Rosemary WS from Chesham Chemicals or Pronelen Rosemary extract from S.Black Ltd; camellia sinensis such as that available under the trade name "Herbal Extract Green Tea 75% Solids" from Nichimen Europe; and grape seed extract such as that available under the trade name Grape Seed Extract WS from S.Black Ltd. In combination with a suitable diluent or carrier. The agents used in the present invention are already known for their individual ability to quench free radicals and prevent oxidative damage to the hair. However the present invention discloses that certain combinations of these agents have an efficacy much greater than expected. This has been demonstrated experimentally.

The commercially available sources of the anti-free-radical agents used in the present invention consist of the anti free-radical agents but these may also comprise diluents and/or carriers. Thus there may be some confusion as to the actual level of agent within a commercially available product. Accordingly, the amounts of anti free-radical agents used in the present invention are expressed as dry weights, as understood by a man skilled in the art.

The total amount of anti-free radical agents present in the composition may range from 0.003% to 10% by weight. Where the synergistic mixture of anti free-radical agents is comprised solely of herbal extracts, then a preferred total

- 3 -

amount of anti free-radical agents is 0.005% to 1%w/w, most preferably 0.01% to 0.6% by weight of the composition.

5 Preferably, the individual anti-free-radical agents that comprise the synergistic mixtures may be present in an amount of from about 0.001% to about 10% by weight, more preferably from about 0.003% to about 5% by weight, most preferably 0.004 to 0.2% by weight of the composition.

10 Particularly preferred synergistic combinations of anti free-radical agents suitable for inclusion in a hair care composition are:

Origanum vulgare, sodium ascorbyl phosphate and morus alba.

15 Origanum vulgare, sodium ascorbyl phosphate and panax ginseng.

Panax ginseng, morus alba and origanum vulgare.

Sodium ascorbyl phosphate, morus alba and camellia sinensis

20 Sodium ascorbyl phosphate, morus alba and grape seed

Origanum vulgare, panax ginseng and rosmarinus officinalis.

25 A hair care composition containing a synergistic combination of anti free-radical agents has a multitude of advantages. Such anti free-radical agents are usually highly coloured. If they are used individually in amounts necessary to be totally effective, it is likely that the hair care composition would stain both skin and clothes and would dye the hair. Further, even at lower levels the agents give the composition a cosmetically unacceptable appearance. Thus most conventional
30 hair compositions use less of an anti free-radical agent than necessary to provide total protection. With the present invention because of the increased efficacy of the synergistic mixture of anti-free radical agents it is possible to include the anti free-radical agents in sufficient amounts to provide an effective defence against the action of free radicals. Thus use of the composition will give
35 the users hair improved shine, feel, manageability, flexibility, colour and will help protect the hair from damage. All this is provided without the aforementioned disadvantages of staining, dyeing and unacceptable cosmetic appearance.

- 4 -

Alternatively, if the same level of protection as a conventional formulation is required, then the increased efficacy of the synergistic mixture of anti free-radical agents means that the composition will require much lower quantities of the anti free-radical agents than a conventional formulation. Not only are any problems with highly coloured formulations reduced (staining, dyeing, cosmetic appearance), but the cost of the formulation is likely to be cheaper as well.

To further reduce the generation of free-radicals by the UV radiation in sunlight, compositions of the present invention may further comprise any acceptable sunscreens (that is an agent which acts to absorb and/or reflect UV radiation present in sunlight) and which would be acceptable for use in a hair-care composition (for example suitable for use on the human head). Such sunscreens may comprise inorganic sunscreens (for example zinc oxide and/or titanium dioxide preferably of microfine (< 100 nm) particle size) and/or organic sunscreens (for example p-aminobenzoic acids, esters and derivatives, methoxycinnamate esters, benzophenones [such as benzophenone-4 {available commercially under the trade name Uvinul MS40}]; dibenzoylmethanes and/or salicylate esters). The sunscreens may be present in an amount of from about 0.1% to about 10% by weight of the composition.

Further components may be added to the hair care composition as is well-known to those skilled in the art.

For example, preservatives may be added to the composition such as 2-bromo-2-nitropropane-1,3-diol (bronopol, which is available commercially under the trade name Myacide RTM), benzyl alcohol, diazolidinyl urea, imidazolidinyl urea, methyl paraben, phenoxy ethanol, propyl paraben, sodium methyl paraben and sodium propyl paraben, suitably in an amount of from about 0.01% to about 10% by weight of the composition.

Thickeners and viscosity modifying agents may be added to the composition, such as amine oxides, block polymers of ethylene oxide and propylene oxide (for example, those available from BASF Wyandotte under the trade name "Pluronic" RTM), ethoxylated fatty alcohols, cellulosic derivatives such as hydroxypropylmethyl cellulose, salt (NaCl), phthalic acid amide, polyvinyl

- 5 -

alcohols and fatty alcohols, suitably in an amount of from about 0.5% to about 10% by weight of the composition.

Sequestering agents may be added to the composition, such as ethylenediamine tetraacetic acid and salts thereof, suitably in an amount of from about 0.005% to about 0.5% by weight of the composition.

The composition may also include resins such as: octylacrylamide / acrylates / butylaminomethacrylate copolymer (available under the trade name Amphomer RTM); ethyl ester of polyvinylmethyl (hereinafter known as PVM) / methylacrylate (hereinafter known as MA) copolymer (available under the trade name Ultrahold 8A RTM); vinyl acetate (hereinafter known as VA) / crotonates / vinyl neodecanate copolymer (available under the trade name Adhesive 28-2930 NAL); acrylates / acrylamide copolymer (available under the trade name Gantrez ES225 RTM); vinyl acetate / crotonic acid / vinyl propionate copolymer (available under the trade name Luviset CAP RTM); polyvinylpropionate (hereinafter known as PVP) / VA / vinylpropionate copolymer (available under the trade name Laviskol VAP RTM); octylacrylamide / acrylate copolymer (available under the trade names Versatyl 90 RTM or Lovocryl 47 RTM); vinyl caprolactam / PVP / dimethylaminoethyl methacrylate copolymer (available under the trade name (H₂O LD EP-1); PVM / MA copolymer (available under the trade name Gantrez RTM); and vinyl acetate / butyl maleate / isobornyl acrylate copolymer (available under the trade name Advantage CP RTM). These resins may be present suitably in an amount of from about 0.1% to about 10% by weight of the composition.

The composition may also include slip aids such as phenyl trimethicone, suitably in an amount of from about 0.1% to about 10% by weight of the composition.

The composition may also include vitamins such as biotin, suitably in an amount of from about 0.01% to about 1.0% by weight of the composition.

The composition may also include waxes such as cocoa butter suitably in an amount of from about 1% to about 99% by weight of the composition.

The composition may also include gelling agents such as PVM, MA, or a

- 6 -

decadiene crosspolymer (available under the trade name Stabizez 06), suitably in an amount of from about 0.1% to about 2% by weight of the composition.

5 The composition may also comprise suitable, cosmetically acceptable diluents, carriers and/or propellants such as dimethyl ether.

10 The composition may also include pearlising agents such as stearic monoethanolamide, suitably in an amount of from about 0.01% to about 10% by weight of the composition.

15 Perfumes may be added suitably in an amount of from about 0.01% to about 2% by weight of the composition, as may water soluble dyes such as tartrazine, suitably in an amount of from about a trace amount (such as 1×10^{-5} %) to about 0.1% by weight of the composition.

20 The composition may also include pH adjusting agents such as sodium hydroxide, aminomethyl propanol, triethanolamine, suitably in an amount of from about 0.01% to about 10% by weight of the composition.

25 The composition may be buffered by means well known in the art, for example by use of buffer systems comprising succinic acid, citric acid, lactic acid, and acceptable salts thereof, phosphoric acid, mono- or disodium phosphate and sodium carbonate. Suitably, the composition may have a pH between about 3 and about 10, preferably between about 4 and about 8.

30 The composition may also include an antidandruff agent such as salicylic acid or zinc pyrithione or octopirox suitably in an amount of from about 0.1% to about 5% by weight of the composition.

35 Surfactants may be included, such as cosmetically acceptable salts of alkyl ether sulphates, alkyl and alkylamidoalkyl betaines, ethoxylated alcohols, polyethyleneglycol carboxylates, acceptable salts of alkyl sulphates (such as ammonium lauryl sulphate), acceptable salts of alkyl ether sulphates (such as ammonium laureth sulphate or sodium laureth sulphate), sulphosuccinates (such as disodium laureth sulphosuccinate), amphotoacetates and amphodiacetates (such as disodium cocoamphodiacetate), alkylpolyglucosides and alcohol sulphonates.

- 7 -

In accordance with a further aspect of the present invention there is provided a method of treating hair (for example washing, conditioning and/or styling hair) by application to the hair of a composition as described herein.

The invention will be illustrated with reference to the non-limiting tests and formulation examples described hereinafter:

Example 1 - Hair Conditioner

Ingredients	%W/W
Aqua	to 100
Cetyl alcohol	3
Cetrimonium chloride	0.759
Hydroxyethylcellulose	0.6
Propylene glycol	0.493
Panthenol	0.375
Parfum	0.3
Benzophenone-4	0.2
Sodium chloride	0.15
Wheat amino acids	0.14
Amodimethicone	0.105
Alcohol denat.	0.095
Dimethicone propyl PG-betaine	0.09
Citric acid	0.026
Tetrasodium EDTA	0.02
Trideceth-10	0.0045
Origanum vulgare	0.009
Panax ginseng	0.006
Morus alba	0.0046

The EDTA and hydroxyethylcellulose were added to the water and mixed using a homogeniser to hydrate the polymer. Citric acid, benzophenone and cetrimonium chloride were added. This was then heated to 70°C.

- 8 -

Cetyl alcohol was heated to 70°C in a separate vessel and was then added to the aqueous mixture using a homogeniser. The mixture was then cooled to below 40°C using a propeller stirrer. The remaining materials including the antioxidant complex were then added and the product was made to weight with purified water.

Example 2 - Intensive Conditioner

	Ingredients	%W/W
10	Aqua	to 100
	Cetearyl alcohol	4.6
	Arachidyl propionate	2
	Dimethicone	2
15	Panthenol	1.5
	Stearamidopropyl dimethylamine	1.5
	Hydroxyethylcellulose	0.75
	Amodimethicone	0.7
	Citric acid	0.503
20	Cetrimonium chloride	0.435
	PEG-20 stearate	0.4
	Parfum	0.3
	Propylene glycol	0.29
	Benzophenone-4	0.2
25	Sodium chloride	0.15
	Wheat amino acids	0.14
	Polyquaternium-39	0.1
	Alcohol denat.	0.095
	Trideceth-10	0.03
30	BHT	0.025
	Isopropyl alcohol	0.02
	Tetrasodium EDTA	0.02
	Origanum vulgare	0.009
	Panax ginseng	0.006
35	Morus alba	0.0046

The EDTA and hydroxyethylcellulose were added to the water and mixed using

- 9 -

a homogeniser to hydrate the polymer. The citric acid and cetrimonium chloride were added and mixed using a propeller stirrer. The mixture was then heated to 70°C. In a separate vessel, the waxes, dimethicone and BHT were mixed and heated to 70°C until melted and uniform. This mixture was then added to the aqueous mixture and this was mixed until uniform. The resulting mixture was then cooled to below 40°C with stirring and the remaining materials including the antioxidant complex were then added and the product was made to weight using purified water.

10 Example 3 - Leave In Conditioner

	Ingredients	%WW
	Aqua	to 100
15	PEG-40 hydrogenated castor oil	2
	Dipropylene glycol	1
	Phenoxyethanol	0.85
	Parfum	0.4
	Panthenol	0.375
20	Propylene glycol	0.29
	Methylparaben	0.2
	Benzophenone-4	0.2
	Alcohol denat.	0.095
	Polyquaternium-10	0.091
25	Sodium chloride	0.3
	Wheat amino acids	0.028
	Sodium hydroxide	0.026
	Origanum vulgare	0.009
	Panax ginseng	0.006
30	Morus alba	0.0046

The polyquaternium-10 was added to the water and hydrated using a propeller stirrer. The methylparaben was pre-dispersed in the dipropylene glycol, gently heated to melt and then added to the hydrated polyquaternium-10 mixture. The remaining materials including the antioxidant complex were then added and the product was mixed and made to weight with purified water.

- 10 -

Example 4 - Gentle Shampoo

	Ingredients	%W/W
5	Aqua	to 100
	Sodium laureth sulfate	8.25
	Cocamidopropyl betaine	2.8
	Sodium chloride	1.794
	Cocamide DEA	1.63
10	PEG-6 cocamide	1
	Parfum	0.5
	Panthenol	0.375
	Propylene glycol	0.29
	Benzophenone-4	0.2
15	Glycerin	0.2
	Phenoxyethanol	0.162
	Wheat amino acids	0.14
	Alcohol denat.	0.095
	Citric acid	0.05
20	Methyldibromo glutaronitrile	0.04
	Tetrasodium EDTA	0.02
	Origanum vulgare	0.009
	Panax ginseng	0.006
	Morus alba	0.0046
25		

EDTA, sodium chloride, citric acid and benzophenone-4 were added to the water. This was followed by the addition of sodium laureth sulfate, methyldibromo glutaronitrile, wheat amino acids and the antioxidant complex.

30 PEG-6 cocamide and cocamide DEA were heated gently until liquified. The parfum was added and mixed. This was then added to the above mixture. The cocamidopropyl betaine and remaining materials were then added and mixed. The product was made to weight using purified water.

- 11 -

Example 5 - Anti-Dandruff Shampoo

	Ingredients	%W/W
5	Aqua	to 100
	Sodium laureth sulfate	5.9
	Disodium laureth sulfosuccinate	4
	Laureth-3	3
	Cocamidopropyl betaine	2.45
10	Sodium chloride	1.926
	Dipropylene glycol	1
	Parfum	0.5
	Piroctone olamine	0.5
	Panthenol	0.375
15	Propylene glycol	0.29
	Disodium phosphate	0.25
	Benzophenone-4	0.2
	Wheat amino acids	0.14
	Alcohol denat.	0.095
20	Citric acid	0.063
	Tetrasodium EDTA	0.02
	Preservative	0.2
	Origanum vulgare	0.009
	Panax ginseng	0.006
25	Morus alba	0.0046

EDTA, citric acid and benzophenone-4 were mixed into the water. Sodium laureth sulfate, disodium laureth sulfosuccinate, dipropylene glycol, disodium phosphate, wheat amino acids and the antioxidant complex were added and the product was stirred until uniform. The piroctone olamine was dispersed in the parfum and added to the laureth-3. This mixture was added to the bulk and stirred. The remaining materials were then added and the product was made to weight with purified water.

Ingredients	%W/W
Aqua	to 100
Sodium laureth sulfate	7.6
Cocamidopropyl betaine	2.8
Sodium chloride	1.694
Laureth-3	1
Phenoxyethanol	0.852
Parfum	0.5
Disodium phosphate	0.4
Panthenol	0.375
Propylene glycol	0.29
Methylparaben	0.2
Benzophenone-4	0.2
Wheat amino acids	0.14
Propylparaben	0.1
Sodium thiosulfate	0.1
Alcohol denat.	0.095
Sodium hydroxide	0.06
Sodium phosphate	0.06
Tetrasodium EDTA	0.02
Origanum vulgare	0.009
Panax ginseng	0.006
Morus alba	0.0046

30 Benzophenone, sodium chloride, sodium phosphate, disodium phosphate and EDTA were added to the water and mixed. Sodium laureth sulfate, phenoxyethanol, panthenol, wheat amino acids and the antioxidant complex were then added and stirred. The preservatives were pre-mixed in the laureth-3 and heated slightly to melt the powders. This was added to the product. The remaining materials were added and the product was made to weight using
35 purified water.

- 13 -

Example 7 - Hair Gel

	Ingredients	%W/W
5	Aqua	to 100
	Cyclomethicone	6.6
	Dimethiconol ●	0.9
	Phenoxyethanol	0.8
	Propylene glycol	0.79
10	Panthenol	0.75
	Carbomer	0.7
	Aminomethyl propanol	0.4
	Benzophenone-4	0.2
	Parfum	0.2
15	Alcohol denat.	0.095
	Tetrasodium EDTA	0.05
	Sodium chloride	0.03
	Wheat amino acids	0.028
	Origanum vulgare	0.009
20	Panax ginseng	0.006
	Morus alba	0.0046

EDTA and benzophenone-4 were added to the water using an homogeniser. The carbomer was added and hydrated with continued homogenising. The phenoxyethanol, cyclomethicone, dimethiconol, propylene glycol and panthenol were then added and mixed until homogenous. The remaining materials including the antioxidant complex were added and the bulk was homogenised until uniform and the product was made to weight using purified water.

Example 8 - Semi-solid Structured Styling Paste for Hair

This type of product is also known as a hair putty

	Ingredients	%W/W
35	Aqua	to 100
	Cetearyl alcohol	10.9

- 14 -

	Lanolin	7
	PVP	6
	Paraffin	6
	PVP/VA copolymer	5.7
5	Carnauba	3
	Petrolatum	2
	Polyquaternium-11	2
	PEG-20 stearate	1.9
	Paraffinum liquidum	1
10	Propylene glycol	0.8
	Phenoxyethanol	0.6
	Dimethicone	0.5
	Panthenol	0.375
	Cetrimonium chloride	0.35
15	Dimethicone propyl PG-betaine	0.225
	Benzophenone-4	0.2
	Methylparaben	0.12
	Alcohol denat.	0.095
	Origanum vulgare	0.009
20	Panax ginseng	0.006
	Morus alba	0.0046

The PVP/VA copolymer, PVP and benzophenone-4 were added to the water and stirred until homogenous. This was then heated to 70°C. In a separate vessel, the waxes were mixed and heated to 70°C until all materials had melted. The hot waxes were then added to the aqueous mixture and mixed using a propeller stirrer until homogenous. The mixture was then cooled to below 60°C and the remaining materials, including the antioxidant complex were then added and the product was stirred until uniform. The product was made to weight using purified water.

Example 9 - Moisturising Conditioner

	Ingredients	%W/W
35	Aqua	to 100
	Cetyl alcohol	4

- 15 -

	Dimethicone	2
	Hydroxyethylcellulose	0.8
	Cetrimonium chloride	0.765
	Panthenol	0.75
5	Propylene glycol	0.64
	Parfum	0.3
	Benzophenone-4	0.2
	Amodimethicone	0.175
	Dimethicone propyl PG-betaine	0.15
10	Sodium chloride	0.15
	Wheat amino acids	0.14
	Alcohol denat.	0.095
	Citric acid	0.026
	Tetrasodium EDTA	0.02
15	Trideceth-10	0.009
	Origanum vulgare	0.009
	Panax ginseng	0.006
	Morus alba	0.0046

20 EDTA and hydroxyethylcellulose were added to the water using homogenising to hydrate the polymer. The benzophenone-4 and Laureth-3 were then added and the bulk was heated to 70°C. In a separate vessel, the cetyl alcohol was heated to 70°C until melted and was then added to the bulk and mixed with a homogeniser until uniform. The product was cooled and the remaining

25 materials, including the antioxidant complex were then added and mixed. The product was made to weight using purified water.

Example 10 - Spray Gel

30	Ingredients	%W/W
	Phase 1	
35	Aqua	to 100
	PVP/VA copolymer	4.9
	Isopropyl alcohol	2.5

- 16 -

	Propylene glycol	2.29
	Glycerin	2
	Panthenol	0.375
	Benzophenone-4	0.2
5	Sodium chloride	0.03
	Wheat amino acids	0.028
	Origanum vulgare	0.009
	Panax ginseng	0.006
	Morus alba	0.0046
10	Phase 2	
	PEG-40 hydrogenated castor oil	1
	Parfum	0.3
15	Phase 3	
	Alcohol denat.	45
20	Dimethicone copolyol	1

The materials in phase 1 were mixed until uniform using a propeller stirrer. The materials in phase 2 were pre-mixed and added to phase 1. The materials in phase 3 were mixed and added to the bulk. The product was made to weight using purified water.

Example 11 - Dry Scalp Shampoo

30	Ingredients	%WW
	Aqua	to 100
	Sodium laureth sulfate	7
	Sodium chloride	2.23
35	Cocamidopropyl betaine	1.96
	Laureth-3	1
	Panthenol	0.375

- 7 -

	Propylene glycol	0.29
	Piroctone olamine	0.25
	Benzophenone-4	0.2
	Phenoxyethanol	0.162
5	Wheat amino acids	0.14
	Polyquaternium-39	0.1
	Alcohol denat.	0.095
	Citric acid	0.06
	Methyldibromo glutaronitrile	0.04
10	Tetrasodium EDTA	0.02
	Origanum vulgare	0.009
	Panax ginseng	0.006
	Morus alba	0.0046

- 15 EDTA, citric acid, benzophenone-4 and sodium chloride were added and mixed using a propeller stirrer until all materials were dissolved and uniform. The sodium laureth sulfate and piroctone olamine were then added and stirred until homogenous. The remaining materials, including the antioxidant complex were then added and the product was stirred until uniform and homogenous. The
- 20 product was made to weight with purified water.

Example 12 - Deep Cleaning Shampoo

25	Ingredients	%W/W
	Aqua	to 100
	Sodium laureth sulfate	14.13
	Sodium chloride	2.72
30	Cocamidopropyl betaine	1.4
	PEG-6 cocamide	1
	Parfum	0.5
	Panthenol	0.375
	Propylene glycol	0.29
35	Benzophenone-4	0.2
	Phenoxyethanol	0.162
	Wheat amino acids	0.14

Alcohol denat.	0.095
Methyldibromo glutaronitrile	0.04
Citric acid	0.02
Tetrasodium EDTA	0.02
Origanum vulgare	0.009
Panax ginseng	0.006
Morus alba	0.0046

10 The benzophenone-4, sodium laureth sulfate, cocamidopropyl betaine, panthenol, methyldibromo glutaronitrile, wheat amino acids and the antioxidant complex were then added and mixed until the product was uniform, using a propeller stirrer. The parfum was pre-dispersed in the PEG-6 cocamide and then added to the bulk. The product was made to weight using purified water.

	Ingredients	%W/W
20	Aqua	to 100
	Sodium laureth sulfate	8.24
	Cocamidopropyl betaine	2.8
	Cocamide DEA	1.63
25	Panthenol	1.5
	Sodium chloride	1.5
	Laureth-3	1.194
	Parfum	0.5
	Propylene glycol	0.5
30	Polyquaternium-10	0.273
	Glycerin	0.2
	Wheat amino acids	0.14
	Alcohol denat.	0.095
	Dimethicone propyl PG-betaine	0.09
35	Citric acid	0.04
	Tetrasodium EDTA	0.02
	Oriqanum vulgare	0.009

- 19 -

Panax ginseng	0.006
Morus alba	0.0046

EDTA and polyquaternium-10 were added to the water and the polymer was hydrated using an homogeniser. The citric acid, sodium chloride and benzophenone-4 were added and stirred until uniform. The remaining materials, including the antioxidant complex were added individually and the product was mixed using a propeller stirrer until homogenous. The product was made to weight using purified water.

Example 14 - Extra Hold Hair Gel

Ingredients	%W/W
Aqua	to 100
PVP/VA copolymer	1.9
Propylene glycol	1.29
Carbomer	1
PEG-40 hydrogenated castor oil	1
Panthenol	0.375
Sodium hydroxide	0.26
Parfum	0.2
Phenoxyethanol	0.16
Tetrasodium EDTA	0.15
Mica	0.113
Cystine hydroxypropyl polysiloxane	0.1
Alcohol denat.	0.095
Methyldibromo glutaronitrile	0.04
Sodium chloride	0.03
Wheat amino acids	0.028
Benzophenone-2	0.025
Origanum vulgare	0.009
Panax ginseng	0.006
Morus alba	0.0046
Method	

EDTA, methyldibromo glutaronitrile, PVP/VA copolymer and carbomer were

- 20 -

added to the water and mixed using a homogeniser to ensure that the polymers were hydrated. With continued homogenising, the cystine hydroxypropyl polysiloxane was added and mixed into the product. The remaining materials, including the antioxidant complex were added individually and mixed using a propeller stirrer until the product was homogenous.

Example 15 - Gentle Shampoo

	Ingredients	%W/W
10	Aqua	to 100
	Sodium laureth sulfate	8.25
	Cocamidopropyl betaine	2.8
	Sodium chloride	1.794
15	Cocamide DEA	1.63
	PEG-6 cocamide	1
	Parfum	0.5
	Panthenol	0.375
	Propylene glycol	0.29
20	Benzophenone-4	0.2
	Glycerin	0.2
	Phenoxyethanol	0.162
	Wheat amino acids	0.14
	Alcohol denat.	0.095
25	Citric acid	0.05
	Methyldibromo glutaronitrile	0.04
	Tetrasodium EDTA	0.02
	Origanum vulgare	0.2
	Panax ginseng	0.2
30	Morus alba	0.2

EDTA, sodium chloride, citric acid and benzophenone-4 were added to the water. This was followed by the addition of sodium laureth sulfate, methyldibromo glutaronitrile, wheat amino acids and the antioxidant complex. PEG-6 cocamide and cocamide DEA were heated gently until liquified. The perfume was added and mixed. This was then added to the above mixture. The

- 21 -

cocamidopropyl betaine and remaining materials were then added and mixed. The product was made to weight using purified water.

Example 16 - Gentle Shampoo

5

Ingredients	%W/W
Aqua	to 100
Sodium laureth sulfate	8.25
10 Cocamidopropyl betaine	2.8
Sodium chloride	1.794
Cocamide DEA	1.63
PEG-6 cocamide	1
Parfum	0.5
15 Panthenol	0.375
Propylene glycol	0.29
Benzophenone-4	0.2
Glycerin	0.2
Phenoxyethanol	0.162
20 Wheat amino acids	0.14
Alcohol denat.	0.095
Citric acid	0.05
Methyldibromo glutaronitrile	0.04
Tetrasodium EDTA	0.02
5 Origanum vulgare	0.2
Panax ginseng	0.2
Rosmarinus officinalis	0.2

30

EDTA, sodium chloride, citric acid and benzophenone-4 were added to the water. This was followed by the addition of sodium laureth sulfate, methyldibromo glutaronitrile, wheat amino acids and the antioxidant complex.

PEG-6 cocamide and cocamide DEA were heated gently until liquified. The parfum was added and mixed. This was then added to the above mixture. The

35

cocamidopropyl betaine and remaining materials were then added and mixed. The product was made to weight using purified water.

A number of trials were conducted to demonstrate the efficacy of the synergistic combinations of anti-free radical agents.

In Vitro Tests

Linoleic acid (model skin and hair lipid) was incubated in the presence of various antioxidants and antioxidant combinations and was exposed to broad spectrum UVA/B to induce oxidation of the lipid. Following extraction of the lipid into methanol, the amount of lipid hydroperoxides (free radical generated damage) formed were measured with a specific colorimetric biochemical test. The degree of inhibition afforded by the antioxidants was thus measured and compared to irradiated vehicle controls.

The percentage inhibition of free radical mediated lipid peroxidation when compared to the vehicle alone are given below.

<u>Antioxidants</u>	<u>% inhibition</u>
Morus alba (ma)	0
Origanum vulgare (ov)	49
Panax ginseng (pg)	5.7
Sodium ascorbyl phosphate (nap)	21
Grape seed (gs)	0
Camellia sinensis (cc)	19
Observed effect of nap/pg/ov	100
Predicted effect of nap/pg/ov	75.7
Observed effect of nap/ma/ov	100
Predicted effect of nap/ma/ov	70
Observed effect of nap/ma/cc	100
Predicted effect of nap/ma/cc	40
Observed effect of nap/ma/gs	81
Predicted effect of nap/ma/gs	21

- 23 -

Thus it can be seen that the observed inhibiting effect of using the above combinations of antioxidants is greater than that which would be expected from the sum of the contributions of the individual thus demonstrating a synergistic effect.

In a second series of experiments using antioxidants collected in a later season gave the following results.

10	<u>Antioxidant</u>	<u>% inhibition</u>
	morus alba (ma)	37
	organum vulgare (ov)	29
	rosmarinus officinalis (ro)	15
	panax ginseng (pg)	10
15	Observed effect of ro/pg/ov	88
	Predicted effect of ro/pg/ov	54
	Observed effect of pg/ma/ov	93
20	Predicted effect of pg/ma/ov	76

Thus it can be seen that the observed inhibiting effect of using the above combinations of antioxidants is greater than that which would be expected from the sum of the contributions of the individual thus demonstrating a synergistic effect.

In Vivo Tests

Swatches of hair were tied at each end and then cut into two pieces. One of these pieces was used as a test and the other was used as a control. Each piece was washed with either the shampoo under test or a control containing no anti-free-radical agents for one minute and then rinsed in tepid water for 30 seconds. The swatches were then fixed on a plate and irradiated with broad spectrum UVA/B light for 8 hours in the Heredus Suntest plus to induce oxidation of the lipid. Samples of each swatch (0.12 g) were weighed out and placed in an epindorph tube. A 2:1 mixture of chloroform and methanol (1 m) was added. The tubes were placed in a centrifuge tube, placed on a tumbula

- 24 -

mixer and mixed for 2 hours in a refrigerator. The hair was removed and the solvent removed under nitrogen. The lipids were resolubilised by adding methanol (50 μ l) and vortexing for 15 seconds. Aliquots (7.5 μ l) were plated out in triplicate and assayed for the level of oxidised lipid using a commercially available specific colourimetric biochemical test (K-Assay LPO kit). Each test was repeated with 5 separate hair swatches and the mean result for each of the 3 determinations for all five swatches enabled the amount of oxidised lipid to be measured.

The composition of Example 1 containing morus alba (0.2%), organum vulgare (0.2%) and panax ginseng (0.2%) showed an amount of oxidised lipid of 113 nmol/ml compared to 181 nmol/ml for the control. The reduction in the amount of oxidised lipid is therefore around 38%.

The composition of Example 8 containing morus alba (0.2%), organum vulgare (0.2%) and panax ginseng (0.2%) showed an amount of oxidised lipid of 372 nmol/ml compared to 553 nmol/ml for the control. The reduction in the amount of oxidised lipid is therefore around 33%.

The composition of Example 15 containing morus alba (0.2%), organum vulgare (0.2%) and panax ginseng (0.2%) showed an amount of oxidised lipid of 482 nmol/ml compared to 731 nmol/ml for the control. The reduction in the amount of oxidised lipid was around 34%.

The composition of Example 16 containing rosmarinus officinalis (0.2%), organum vulgare (0.2%) and panax ginseng (0.2%) showed an amount of oxidised lipid of 601 nmol/ml compared to 694 nmol/ml for the control. The reduction in the amount of oxidised lipid was around 13%.

Sensory analysis

Swatches of hair treated with either base formulation or formulations containing antioxidants were assessed by an expert panel for factors such as shine, softness, static, ease of combing, gloss and overall feel. Statistical analysis was used to determine whether antioxidants were able to protect and enhance

- 25 -

these properties.

The results showed an improvement in shine and feel.

CLAIMS

1. Hair care compositions containing a synergistic mixture of three anti-free-radical agents selected from the group consisting of

- (a) ascorbic acid, its salts, esters, glucosides and glucosamines;
- (b) morus alba;
- (c) origanum vulgare;
- (d) panax ginseng;
- (e) rosmarinus officinalis; and
- (f) grape seed oil;

in a suitable diluent or carrier.

2. Hair care compositions as claimed in claim 1 which comprise sodium ascorbyl phosphate, magnesium ascorbyl phosphate or ascorbyl palmitate.

3. Hair care compositions as claimed in claim 1 or claim 2 wherein the total amount of anti-free-radical agents present lies in the range 0.003 to 10% by weight.

4. Hair care compositions as claimed in any preceding claim wherein the total amount of anti-free-radical agents present lies in the range 0.005 to 1% by weight.

5. Hair care compositions as claimed in any preceding claim wherein the total amount of anti-free-radical agents present lies in the range 0.01 to 0.6% by weight.

6. Hair care compositions as claimed in any preceding claims where the synergistic combination of anti-free-radicals is:

Origanum vulgare , sodium ascorbyl phosphate and morus alba

Origanum vulgare , sodium ascorbyl phosphate and panax ginseng

Panax ginseng, morus alba and origanum vulgare

Sodium ascorbyl phosphate, morus alba and grape seed
Origanum vulgare, panax ginseng and rosmarinus officinalis.

7. A method of treating hair which comprises the application to the hair of a hair care composition as claimed in any one of claims 1 to 6.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
1 February 2001 (01.02.2001)

PCT

(10) International Publication Number
WO 01/06997 A1

- (51) International Patent Classification: **A61K 7/06** (74) Agent: **THACKER, Michael, Anthony**; The Boots Company plc, Group Patents Dept., Building D31, 1 Thane Road West, Nottingham, Nottinghamshire NG2 3AA (GB).
- (21) International Application Number: **PCT/EP00/07196**
- (22) International Filing Date: **26 July 2000 (26.07.2000)** (81) Designated States (national): **AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.**
- (25) Filing Language: **English**
- (26) Publication Language: **English**
- (30) Priority Data: **9917729.7** **28 July 1999 (28.07.1999)** **GB**
- (71) Applicant (for all designated States except US): **THE BOOTS COMPANY PLC** [GB/GB]; 1 Thane Road West, Nottingham, Nottinghamshire NG2 3AA (GB).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): **CRAIG, Ailsa, Helen**, Louise [GB/GB]; The Boots Company plc, 1 Thane Road West, Nottingham, Nottinghamshire NG2 3AA (GB). **GALLEY, Edward** [GB/GB]; The Boots Company plc, 1 Thane Road West, Nottingham, Nottinghamshire NG2 3AA (GB). **MONG, Stewart, Paul** [GB/GB]; The Boots Company plc, 1 Thane Road West, Nottingham, Nottinghamshire NG2 3AA (GB). **RYKETT, Melanie**, Ann [GB/GB]; The Boots Company plc, 1 Thane Road West, Nottingham, Nottinghamshire NG2 3AA (GB).
- Published:
- With international search report.
 - Before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments.
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: **HAIR CARE COMPOSITION**

(57) Abstract: Hair care compositions containing a synergistic mixture of three anti-free-radical agents selected from (a) ascorbic acid, its salts and esters; (b) tocopherol and its esters, such as tocopherol acetate; and (c) herbal extracts selected from *morris alba*, *origanum vulgare*, *panax ginseng*, *rosmarinus officinalis*, *camellia sinensis* and grape seed extract in a suitable diluent or carrier. Preferred synergistic mixtures are *Origanum vulgare*, sodium ascorbyl phosphate and *morris alba*. *Origanum vulgare*, sodium ascorbyl phosphate and *panax ginseng*. *Panax ginseng*, *morris alba* and *Origanum vulgare*. Sodium ascorbyl phosphate, *morris alba* and *camellia sinensis*. Sodium ascorbyl phosphate, *morris alba* and grape seed *Origanum vulgare*, *panax ginseng* and *rosmarinus officinalis*.

WO 01/06997 A1

Docket No. 025069-00000

ARENT FOX KINTNER PLOTKIN & KAHN, PLLC

Declaration For U.S. Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

(Insert Title) HAIR CARE COMPOSITION

the specification of which is attached hereto unless the following box is checked:

☒ was filed on July 26, 2000 As PCT International Application
Number PCT/EP00/07196 and was amended on _____
and/or was filed on January 28, 2002 As U.S. Patent Application
Number 10/030,147 and was amended on _____

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International Application having a filing date before that of the application(s) for which priority is claimed:

(List prior foreign applications)	9917729.7 (Number)	Great Britain (Country)	28 July 1999 (Day/Month/Year Filed)	Priority Claimed <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

(Application Number)	(Filing Date)
(Application Number)	(Filing Date)

☐ See attached list for additional prior foreign or provisional applications.

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) (U.S. or PCT) in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(List prior U.S. Applications or PCT International applications designating the U.S.)	(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
	(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

And I hereby appoint the firm of Arent Fox, Customer Number 004372 including as principal attorneys: Robert B. Murray, Reg. No. 22,980; Charles M. Marmelstein, Reg. No. 25,895; George E. Oram, Jr., Reg. No. 27,931; Douglas H. Goldhush, Reg. No. 33,125; Richard J. Berman, Reg. No. 39,107; Murat Ozgu, Reg. No. 44,275; Robert K. Carpenter, Reg. No. 34,794; Rustan Hill, Reg. No. 37,351; Kevin Turner, Reg. No. 43,437; Rhonda L. Barton, Reg. No. 47,271; Hans J. Crosby, Reg. No. 44,634; Brian A. Tollefson, Reg. No. 46,338; David D. Dzara, Reg. No. 47,543; Lynne D. Anderson, Reg. No. 46,412; Dinnatia J. Doster, Reg. No. 45,268; Michael A. Steinberg, Reg. No. 43,160 and Lynn A. Bristol, Reg. No. 48,898.

Please direct all communications to the following address:

Customer No. 004372
ARENT FOX KINTNER PLOTKIN & KAHN, PLLC
1050 Connecticut Avenue, N.W., Suite 400
Washington, D.C. 20036-5339
Telephone No. (202) 857-6000; Facsimile No. (202) 638-4810

The undersigned hereby authorizes the U.S. attorneys named herein to accept and follow instructions from the undersigned's assignee, if any, and/or, if the undersigned is not a resident of the United States, the undersigned's domestic attorney, patent attorney or patent agent, as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the U.S. attorneys named herein will be so notified by the undersigned.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-00 Full name of sole or first inventor Ailsa Helen, Louise, CRAIG ^{14/05/02}

Inventor's signature Ailsa L. 14/05/02 Date

Residence Nottinghamshire, Great Britain GBN
Citizenship British
Post Office Address c/o The Boots Company PLC, 1 Thane Road West, Nottingham, Nottinghamshire NG2 3AA, Great Britain

2-00 Full name of second inventor Edward GALLEY

Inventor's signature _____ Date _____

Residence Nottinghamshire, Great Britain GBN
Citizenship British
Post Office Address c/o The Boots Company PLC, 1 Thane Road West, Nottingham, Nottinghamshire NG2 3AA, Great Britain

3-00 Full name of third inventor Paul Stewart LONG

Inventor's signature _____ Date _____

Residence Nottinghamshire, Great Britain GBN
Citizenship British
Post Office Address c/o The Boots Company PLC, Thane Road West, Nottingham, Nottinghamshire NG2, 3AA, Great Britain

4-00 Full name of fourth inventor Melanie Ann PYKETT

Inventor's signature _____ Date _____

Residence Nottinghamshire, Great Britain GBN
Citizenship British
Post Office Address c/o The Boots Company PLC, Thane Road West, Nottingham, Nottinghamshire, NG2, 3AA, Great Britain

Full name of fifth inventor _____

Inventor's signature _____ Date _____

Residence _____
Citizenship _____
Post Office Address _____

Full name of sixth inventor _____

Inventor's signature _____ Date _____

Residence _____
Citizenship _____
Post Office Address _____

Docket No. 025069-00000

ARENT FOX KINTNER PLOTKIN & KAHN, PLLC

Declaration For U.S. Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

(Insert Title) HAIR CARE COMPOSITION

the specification of which is attached hereto unless the following box is checked:

☒ was filed on July 26, 2000 As PCT International Application
 Number PCT/EP00/07196 and was amended on _____
 and/or was filed on January 28, 2002 As U.S. Patent Application
 Number 10/030,147 and was amended on _____

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International Application having a filing date before that of the application(s) for which priority is claimed:

(List prior foreign applications)	<u>9917729.7</u> (Number)	<u>Great Britain</u> (Country)	<u>28 July 1999</u> (Day/Month/Year Filed)	Priority Claimed <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	<u> </u> (Number)	<u> </u> (Country)	<u> </u> (Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
	<u> </u> (Number)	<u> </u> (Country)	<u> </u> (Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

<u> </u> (Application Number)	<u> </u> (Filing Date)
<u> </u> (Application Number)	<u> </u> (Filing Date)

☐ See attached list for additional prior foreign or provisional applications.

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) (U.S. or PCT) in the manner provided by the first paragraph of 35, U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(List prior U.S. Applications or PCT International applications designating the U.S.)	<u> </u> (Application Serial No.)	<u> </u> (Filing Date)	<u> </u> (Status) (patented, pending, abandoned)
	<u> </u> (Application Serial No.)	<u> </u> (Filing Date)	<u> </u> (Status) (patented, pending, abandoned)

And I hereby appoint the firm of Arent Fox, Customer Number 004372 including as principal attorneys: Robert B. Murray, Reg. No. 22,980; Charles M. Marmelstein, Reg. No. 25,895; George E. Oram, Jr., Reg. No. 27,931; Douglas H. Goldhush, Reg. No. 33,125; Richard J. Berman, Reg. No. 39,107; Murat Ozgu, Reg. No. 44,275; Robert K. Carpenter, Reg. No. 34,794; Rustan Hill, Reg. No. 77,351; Kevin Turner, Reg. No. 43,437; Rhonda L. Barton, Reg. No. 47,271; Hans J. Crosby, Reg. No. 44,634; Brian A. Tollefson, Reg. No. 46,338; David D. Dzara, Reg. No. 47,543; Lynne D. Anderson, Reg. No. 46,412; Dinnatia J. Doster, Reg. No. 45,268; Michael A. Steinberg, Reg. No. 43,160 and Lynn A. Bristol, Reg. No. 48,898.

Please direct all communications to the following address:

Customer No. 004372
 ARENT FOX KINTNER PLOTKIN & KAHN, PLLC
 1050 Connecticut Avenue, N.W., Suite 400
 Washington, D.C. 20036-5339
 Telephone No. (202) 857-6000; Facsimile No. (202) 638-4810

The undersigned hereby authorizes the U.S. attorneys named herein to accept and follow instructions from the undersigned's assignee, if any, and/or, if the undersigned is not a resident of the United States, the undersigned's domestic attorney, patent attorney or patent agent, as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the U.S. attorneys named herein will be so notified by the undersigned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Alisa Helen, Louise, CRAIG

Inventor's
signature

Date

Residence Nottinghamshire, Great Britain

Citizenship British

Post Office Address c/o The Boots Company PLC, 1 Thane Road West, Nottingham, Nottinghamshire NG2 3AA, Great Britain

Full name of second inventor Edward GALLEY

Inventor's
signature

Date

Residence Nottinghamshire, Great Britain

Citizenship British

Post Office Address c/o The Boots Company PLC, 1 Thane Road West, Nottingham, Nottinghamshire NG2 3AA, Great Britain

Full name of third inventor

~~Paul Stewart LONG~~

STEWART PAUL LONG ^{SC} 13/5/02

Inventor's
signature

Date

Residence Nottinghamshire, Great Britain

Citizenship British

Post Office Address c/o The Boots Company PLC, Thane Road West, Nottingham, Nottinghamshire NG2, 3AA, Great Britain

Full name of forth inventor Melanie Ann PYKETT

Inventor's
signature

Date

Residence Nottinghamshire, Great Britain

Citizenship British

Post Office Address c/o The Boots Company PLC, Thane Road West, Nottingham, Nottinghamshire, NG2, 3AA, Great Britain

Full name of fifth inventor

Inventor's
signature

Date

Residence

Citizenship

Post Office Address

Full name of sixth inventor

Inventor's
signature

Date

Residence

Citizenship

Post Office Address